PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box \longrightarrow $\boxed{+}$

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OLD E JO		Application Number	09/815,376					
TRANSMITTAL		Filing Date	03/21/2001					
TOW THE SECONIAL		First Named Inventor	HOLCOMB					
(to be used for all correspondence after in	itial filing)	Group Art Unit	1763					
CATA TRADEM		Examiner Name	Not yet assigned					
Total Number of Pages in This Submission	12	Attorney Docket Number	16458-050					
ENCLOSURES (check all that apply)								
Fee Transmittal Form		ment Papers Application)	After Allowance Communication to Group					
	□ Drawin	g(s)	Appeal Communication to Board of Appeals and Interferences					
Amendment / Response	Licens	ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Petition	า	Proprietary Information					
Affidavits/declaration(s)		n to Convert to a conal Application	Status Letter					
Extension of Time Request		of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):					
Express Abandonment Request		al Disclaimer st for Refund	- Abstract - Return postcard					
☐ Information Disclosure Statement	CD, N	umber of CD(s)						
Certified Copy of Priority Document(s)	Rema	rks						
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNA	TURE OF	APPLICANT, ATTORNEY, O	R AGENT					
Firm CHRISMAN, BYNUM & JOHNSON or Individual name BY JAMES R. YOUNG								
Signature / // // Signature								
Date June 18, 2001								
CERTIFICATE OF MAILING								
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 18, 2001								
Typed or printed name James R. Young								
Signature	-////	Tung/	Date June 18, 2001					

Burden Hour Statement: This form is estimated to take 02 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Approx or use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL	Complete If Known				
	Application Number	09/815,376			
for FY 2001	Filing Date				
JUN 2 1 2001 2	First Named Inventor	HOLCOMB			
$\mathbf{U} = \mathbf{S}'$	Examiner Name	Not yet assigned			
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Group / Art Unit	1763			
COLARRANGOUNT OF PAYMENT (\$) 920	Attorney Docket No.	16458-052			

METHOD OF PAYMENT (check one)						FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge						3. ADDITIONAL FEES						
1. indicated fees and credit any over payments to:				l	Large		Small					
.	, , , , , , , , , , , , , , , , , , ,				Fee	Entity Fee	Fee	Entity Fee		Fee		
	Deposit Account 03-1725				Code	(\$)	Code	(\$)	Fee Description	Paid		
Number 03-1725					105	130	205	65	Surcharge - late filing fee or oath	130		
Denc							127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
						139	130	139	130	Non-English specification		
Name							147	2,520	147	2,520	For filing a request for reexamination	
☑ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17							112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant claims small entity status. See 37 CFR 1.27							113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment Enclosed:							115	110	215	55	Extension for reply within first month	
⊠ Check							116	390	216	195	Extension for reply within second month	
			FEE CA	LCULATION			117	890	217	445	Extension for reply within third month	
1. E	BASIC FIL	ING FEE					118	1,390	218	695	Extension for reply within fourth month	
Large	Entity	Small E	ntity				128	1,890	228	945	Extension for reply within fifth month	
Fee				Fee Description			119	310	219	155	Notice of Appeal	
Code	,		\$)		Fee Paid		120	310	220	155	Filing a brief in support of an appeal	
101				Utility filing fee	710	_	121	270	221	135	Request for oral hearing	
106 107				Design filing fee Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208 3	155 F	Reissue filing fee	•		140	110	240	55	Petition to revive - unavoidable	
114 150 214 75 Provisional filling fee					141	1,240	241	620	Petition to revive – unintentional			
0.0000000000000000000000000000000000000						142	1,240	242	620	Utility issue fee (or reissue)		
SUBTOTAL (1) (\$) 710							143	440	243	220	Design issue fee	
2. EXT	RA CLAIN	/ FEES					144	600	244	300	Plant issue fee	
					ee from Fee		122	130	122	130	Petitions to the Commissioner	
Total Clain	ns 9	-20	= [elow Paid 18 = 0		123	130	123	130	Petitions related to provisional applications	
Independer Claims	nt 4	-3	= [1 X	80 = 80		126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent			_	×	= 0		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Fee	Fee	Small Fee	Entity Fee	Fee Descript	ion		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code 103	(\$) 18	Code 203	(\$) 9	Claims in exc			149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102	80	202	40	•	claims in excess of 3		179	710	279	355	Request for Continued Examination (RCE)	
104	270	204	135		ndent claim, if not pa		l					
109	80	209	40	** Reissue inc original paten	lependent claims ove t	er	169	900	169	900	Request for expedited examination of a design application	
110	18	210	9	** Reissue cla over original p	ims in excess of 20 a patent	and						
SUBTOTAL (2) (\$) 80						Other fee (specify)						
**or number previously paid, if greater: For Reissues, see above					*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130							
or number previously paid, if greater, not Reissues, see above												

1	SUBMITTED BY				Complete (if applicable)		
Name (Print/Type)		JAMES	JAMES R. YOUNG Pegastration No. Att		27,847	Telephone	303-546-1300
	Signature		(I)MIN	Horing		Date	

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COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/815,376

03/21/2001

Garry Holcomb

16458.050

CONFIRMATION NO. 3939

FORMALITIES LETTER

James R. Young Attorney for Applicants Chrisman, Bynum & Johnson, P.C. 1900 Fifteenth Street Boulder, CO 80302

Date Mailed: 05/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$160.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1000.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

710.00 UP 130.00 UP 80.00 UP

/22/2001 AZERGAU1 00000114 09815376

 An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE